



#7A w  
11-7-02

I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being filed by United States Postal Service as first class mail in an envelope with sufficient postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

Signed:

Peter K. Trzyna (Reg. No. 32,881)

Date:

October 30, 2002

PATENT

Paper No.

File No. Nwmrkt-P4-99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors : Anthony F. Herbst and Wayne F. Perg  
Serial No. : 09/467,646  
Filed : December 20, 1999  
For : DIGITAL COMPUTER SYSTEM FOR OPERATING  
A CUSTOMIZABLE INVESTMENT FUND  
Group Art Unit : 3628  
Examiner : David M. Kee

The Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

RECEIVED

NOV 06 2002

GROUP 3600

AMENDMENT AND RESPONSE

SIR:

In response to the Office Action mailed 30 July 2002, in the above-referenced patent application, please enter the following amendment and reconsider the application in view of the amendment and the remarks set forth below.

I. **AMENDMENT**

A. **In the Claims**

Please amend the claims as follows:

01  
5. (Once Amended) The method of claim 2, wherein the step of generating includes generating digital signals for acquisition of derivatives.